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# PhiMiSci

2 Philosophy and the Mind Sciences

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## 4 Brain structural complexity and consciousness

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### 6 Abstract

7 Structure shapes function. Understanding what is structurally special about the brain that allows  
8 it to generate consciousness remains a fundamental scientific challenge. Recently, advances in  
9 brain imaging techniques have made it possible to measure the structure of human brain, from  
10 the morphology of neurons and neuronal connections to the gross anatomy of brain regions,  
11 in-vivo and non-invasively. Using advanced brain imaging techniques, it was discovered that the  
12 structural diversity between neurons and the topology of neuronal connections, as opposed to the  
13 sheer number of neurons or neuronal connections, are key to consciousness. When the structural  
14 diversity is high and the connections follow a modular topology, neurons will become functionally  
15 differentiable and functionally integrable with one another. The high levels of differentiation  
16 and integration, in turn, enable the brain to produce the richest conscious experiences from the  
17 smallest number of neurons and neuronal connections. Consequently, across individuals, those  
18 with a smaller brain volume but a higher structural diversity tend to have richer conscious expe-  
19 riences than those with a larger brain volume but a lower structural diversity. Moreover, within  
20 individuals, a reduction in neuronal connections, if accompanied by an increase in structural  
21 diversity, will result in richer conscious experiences, and vice versa. These findings suggest  
22 that having a larger number of neurons and neuronal connections is not necessarily beneficial  
23 for consciousness; in contrast, an optimal brain architecture for consciousness is one where  
24 the richest conscious experiences are generated from the smallest number of neurons and neu-  
25 ronal connections, at the minimal cost of biological material, physical space, and metabolic energy.

### 26 Keywords

27 Brain structural complexity · Consciousness · Individual differences · Multimodal brain imaging ·  
28 Sleep

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## 33 1 Introduction

34 A central idea in biology is that structure determines function, as Jean Fernel  
35 ([Tubbs, 2015](#)), the founder of modern anatomy and physiology, famously said,

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“anatomy (the study of body structure) is to physiology (the study of body function) as geography is to history; it describes the theatre of events.” In emphasizing the close relationship between structure and function, Jean Fernel followed the footstep of Herophilus (Pearce, 2013), the ancient Greek who performed the first dissection of human body and recognized the importance of body structure in determining body function. However, for the nearly two-thousand years between the death of Herophilus and the birth of Jean Fernel, the idea that structure determines function was very much overlooked in the realm of biomedical science. Even today, the structure and function of biological systems are often separately studied, and their relationship much less addressed.

In consciousness research, the structure-function relationship has also been long neglected. Since the introduction of brain imaging techniques a few decades ago, researches have been focused on the functional rather than the structural basis of consciousness, with studies searching for brain regions whose activities correlate with the level or the contents of consciousness (Crick & Koch, 2003; Koch et al., 2016). This approach overlooks the important fact that the brain is an interconnected entity where the activity of one region would influence the activities of other regions via direct or indirect connections. As such, any correlation between consciousness and the activity of a particular region is possibly mediated by the activities of other regions. Indeed, using this approach, different studies tend to identify different brain regions as the functional correlates of consciousness and the discrepancy has led to the debates among various theories of consciousness (Boly et al., 2017; Fink, 2016; Lau & Rosenthal, 2011; Odegaard et al., 2017; Tononi et al., 2016).

While the interconnectedness of the brain has posed a great challenge for identifying the functional correlates of consciousness, recent advances in brain imaging techniques (Edwards et al., 2018; Glasser, Smith, et al., 2016; Jones et al., 2018; Panda et al., 2017) have instead made it possible to measure the biophysical structure of human brain non-invasively and study the structural basis of consciousness. In this article, we will review the contributions of brain imaging techniques towards uncovering the relationship between brain structure, brain function, and consciousness. We will provide an overview of advanced brain imaging techniques and discuss how these techniques can unveil brain structural complexity (section 2) and its relationship to consciousness (section 3).

## 2 Understanding brain structural complexity

In describing the relationship between brain structure and brain function, Jean Fernel (Tubbs, 2015), the founder of modern anatomy and physiology, once said, “the brain is the seat of the mind and its parts; the mind being endowed with numerous faculties, man has rightly been provided with a larger accommodation for it than the other creature possesses, and this accommodation is associated with more instruments.” Jean Fernel, like many other scientists, intuitively assumes

77 that having a larger brain volume (“larger accommodation”), or a larger number  
78 of neurons and neuronal connections (“more instruments”), is key to having better  
79 brain functionality. But are the volume of the brain and the number of neurons  
80 truly the key? And is more really better?

81 The common assumption “the more the better” ignores the costs associated  
82 with having a larger brain volume or a larger number of neurons and neuronal  
83 connections. Every neuron and every neuronal connection would cost biological  
84 material to build, physical space to accommodate, and metabolic energy to sustain  
85 (Kaas, 2000). If the same brain functions can be achieved using less biological and  
86 physical resources (Oizumi et al., 2014), that is likely to reflect a more advanta-  
87 geous and cost-effective brain architecture. In this sense, more is not better; on  
88 the contrary, less can be more.

89 To achieve the maximal functions using the minimal number of neurons and  
90 neuronal connections, the key is for neurons to be functionally differentiable from  
91 each other (differentiation), and meanwhile, functionally integrable with one an-  
92 other (integration). If neurons are functionally identical to each other, no matter  
93 how many neurons there are, the functions they generate as a whole will be equiv-  
94 alent to the functions of a single neuron. If neurons are not functionally integrable  
95 with one another, the functions they generate as a whole will be the linear rather  
96 than exponential combination of the functions they generate individually.

97 By nature, differentiation and integration are not compatible (Tononi et al.,  
98 1994; Tononi, 1998; Tononi & Edelman, 1998), as a stronger interaction between  
99 neurons will lead to an increase in their integration but a decrease in their differen-  
100 tiation, and vice versa. Nonetheless, a high level of differentiation and a high level  
101 of integration can be achieved at the same time, if the structural diversity between  
102 neurons is high and the connections between neurons follow a modular topology.  
103 In fact, the structural diversity between neurons (cell diversity) and the topology  
104 of neuronal connections (cell-cell interaction), as opposed to the sheer number of  
105 neurons (cell number) or the sheer volume of brain (organ size), are what underlie  
106 the structural complexity of the brain and what distinguish the brain from other  
107 organs. Thanks to the recent advances in brain imaging techniques, the structural  
108 diversity between neurons and the topology of neuronal connections in the human  
109 brain can now be measured in-vivo and non-invasively. In the following subsec-  
110 tions, we will discuss how these structural features contribute to the structural  
111 complexity and the functionality of the brain, and how these structural features  
112 can in turn be assessed using advanced brain imaging techniques.

## 113 2.1 Contributions of network topology

114 The brain can be viewed as a network of interconnected nodes (Figure ??), where  
115 each node is a neuron or a brain region (Bullmore & Sporns, 2009; Sporns et al.,  
116 2005; Sporns & Betzel, 2016). A key feature that distinguishes the brain from other  
117 organs is the complexity of cell-cell interaction, shaped largely by the topology of

the network. Generally speaking, a more densely connected network would have a higher level of integration but a lower level of differentiation, and vice versa. Take an all-to-all-connected network as an example: in this network, every node is connected to every other node and therefore integrable with them (high integration); however, as a by-product of the dense connections, every node is also fully synchronized with every other node and therefore not differentiated from them (low differentiation). The level of differentiation can be improved by reducing the connections in the network, but that will come at the price of reduced integration. In this sense, there exists a natural trade-off between differentiation and integration.

Despite the natural trade-off between differentiation and integration, a high level of differentiation and a high level of integration can be achieved at the same time, if the network follows a modular topology (Figure ??), with dense connections between nodes in the same module, and sparse connections between nodes from different modules (Bassett & Gazzaniga, 2011; Bullmore & Sporns, 2009; Sporns, 2013; Sporns et al., 2005; Sporns & Betzel, 2016; Tononi et al., 1994; Tononi, 1998; Tononi & Edelman, 1998). The dense intra-modular connections facilitate the integration between nodes in the same module, while the sparse inter-modular connections facilitate differentiation between nodes from different modules.

The modular topology has many functional benefits. It creates a balance between singularity and redundancy, whereby different nodes in the network can have distinct functions, yet if a node stops functioning, other nodes in the same module can take over (Tononi et al., 1999). It also creates a balance between dynamicity and staticity, whereby a node undergoing a state transition can spread the transition to other nodes in the same module without influencing nodes in other modules (Pan & Sinha, 2009). Moreover, compared to other network topologies, a modular topology would cost least connections to produce a high level of integration, and least nodes to produce a high level of differentiation, thereby ensuring high cost-efficacy (Clune et al., 2013; Gallos et al., 2012; Song et al., 2005). Given these functional benefits, the presence of modular topology is often taken as an indicator of a high network complexity (Bassett & Gazzaniga, 2011; Bullmore & Sporns, 2009; Sporns, 2013; Sporns et al., 2005; Sporns & Betzel, 2016).

Such a modular topology is observed in the brain, both at the cellular and at the regional levels. At the cellular level, neurons with similar response properties are densely interconnected and clustered into the same cortical column, whereas neurons with different response properties are sparsely interconnected and distributed into different cortical columns (Figure ??), as illustrated by the orientation column, colour column, or ocular dominance column in visual cortices (Gilbert & Wiesel, 1989; Kaas, 2012; Mountcastle, 1997; Weliky et al., 1995). At a regional level, functionally similar regions are densely interconnected and spatially clustered, whereas functionally distinct regions are sparsely interconnected and spatially distant (Figure ??), as

160 illustrated by the clustering of visual regions in occipital cortex, auditory re-  
161 gions in temporal cortex, somatosensory regions in central cortex, multisensory  
162 regions in parietal cortex, and executive control regions in frontal cortex (Sporns  
163 & Betzel, 2016).

164 Notably, the modular topology is observed not just in the brain, but also in sys-  
165 tems not typically associated with consciousness, such as the metabolic network  
166 or the social network (Girvan & Newman, 2002; Hartwell et al., 1999; Kashtan &  
167 Alon, 2005; Newman, 2006; Ravasz, 2002; Sole & Valverde, 2006). Such a ubiquitous  
168 presence of modular topology indicates that the network topology on its own can-  
169 not give rise to consciousness, and the brain should not be simplified to an abstract  
170 network. Indeed, by simplifying the brain to an abstract network, the biophysical  
171 structure of nodes or connections and the structural diversity between nodes or  
172 connections are largely disregarded, whereas these factors may play a central role  
173 in shaping the structural complexity and the functionality of the brain. In what  
174 follows, we will discuss the contributions of these factors.

## 175 2.2 Contributions of structural diversity

176 No two neurons are identical. The cell diversity, driven largely by the structural  
177 differences between neurons, is the other key feature that distinguishes the brain  
178 from other organs. At the cellular level, the morphology of neuron cell bodies and  
179 the morphology of neuronal connections differ substantially from neuron to neu-  
180 ron. The structural differences shape the functional differences between neurons  
181 and provide the basis for neuron type classification (Figure ??). Specifically, the  
182 morphology of neuron cell bodies shapes the nature of signal computation, where  
183 larger neurons, such as pyramidal cells, can receive signals from a larger num-  
184 ber of other neurons and perform signal integration, yet smaller neurons, such  
185 as granule cells, can only receive signals from a limited number of other neurons  
186 and perform signal relay (Bekkers, 2011; Brown et al., 2008; Chklovskii, 2004). The  
187 morphology of neuronal connections, on the other hand, shapes the speed of sig-  
188 nal transmission, where axonal connections with larger diameter and/or higher  
189 myelination can perform faster signal transmissions, and vice versa (Arancibia et  
190 al., 2017; Chereau et al., 2017; Firmin et al., 2014; Horowitz et al., 2015).

191 At the regional level, the distribution of neurons (cytoarchitecture) and the  
192 distribution of neuronal connections (myeloarchitecture) differ substantially from  
193 region to region (Amunts et al., 2010; Amunts & Zilles, 2015; Clarke & Miklossy,  
194 1990; Palomero & Zilles, 2019). The structural differences shape the functional  
195 differences between brain regions and provide the basis for brain parcellation (Fig-  
196 ure ??). Specifically, the regions dominated by granule cells, such as primary visual  
197 cortex, are involved in signal relay (Shipp, 2005); the regions dominated by pyrami-  
198 dal cells, such as primary motor cortex, are involved in signal integration (Shipp,  
199 2005); the regions with widespread connections to and from other regions, such as

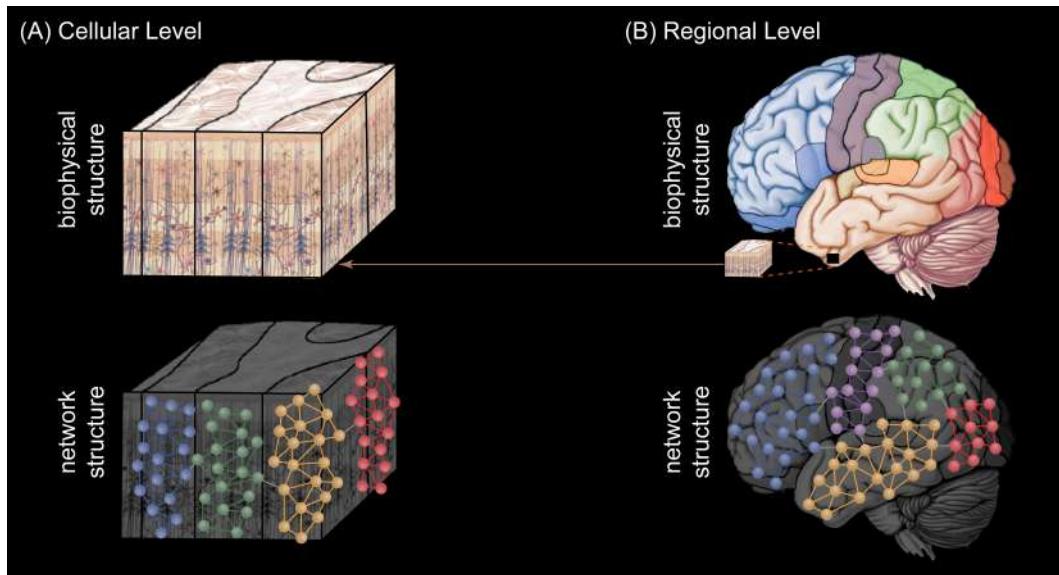


Figure 1: Network Topology in the Brain. The brain can be viewed as a network of interconnected nodes, where each node is a neuron or a brain region. A key feature that distinguishes the brain from other organs is the complexity of cell-cell interaction, shaped largely by the topology of the network. The network at the cellular and the regional levels both follow a modular topology, with dense connections between nodes in the same module (represented by similarly coloured nodes), and sparse connections between nodes from different modules (represented by differently coloured nodes). (A) Specifically, at the cellular level, neurons with similar response properties are densely interconnected and clustered into the same cortical column, whereas neurons with different response properties are sparsely interconnected and distributed into different cortical columns. (B) At the regional level, functionally similar brain regions are often densely interconnected and spatially clustered, whereas functionally distinct brain regions are often sparsely interconnected and spatially distant.

200 the thalamus and the prefrontal cortex, are involved in signal modulation (Harris  
201 et al., 2019; Hwang et al., 2017).

202 The close relationship between the biophysical structure and the function of  
203 the neural systems illustrates why the brain should not be simplified to an abstract  
204 network. Under such simplification, the only factor of interest is the topology of  
205 the network, whereas the nodes or the connections in the network are treated as  
206 abstract units with no intrinsic structure. However, in reality, each node is a neu-  
207 ron or a brain region, and each connection is an axon bundle, all of which has  
208 its unique biophysical structure. The biophysical structure of these nodes or con-  
209 nections shapes their functions. Moreover, the structural diversity between these  
210 nodes or connections greatly amplifies the structural complexity and enhances the  
211 functionality of the brain.

212 The structural diversity can greatly amplify the complexity of the neural net-  
213 work. In particular, a network where the nodes are structurally diverse, compared

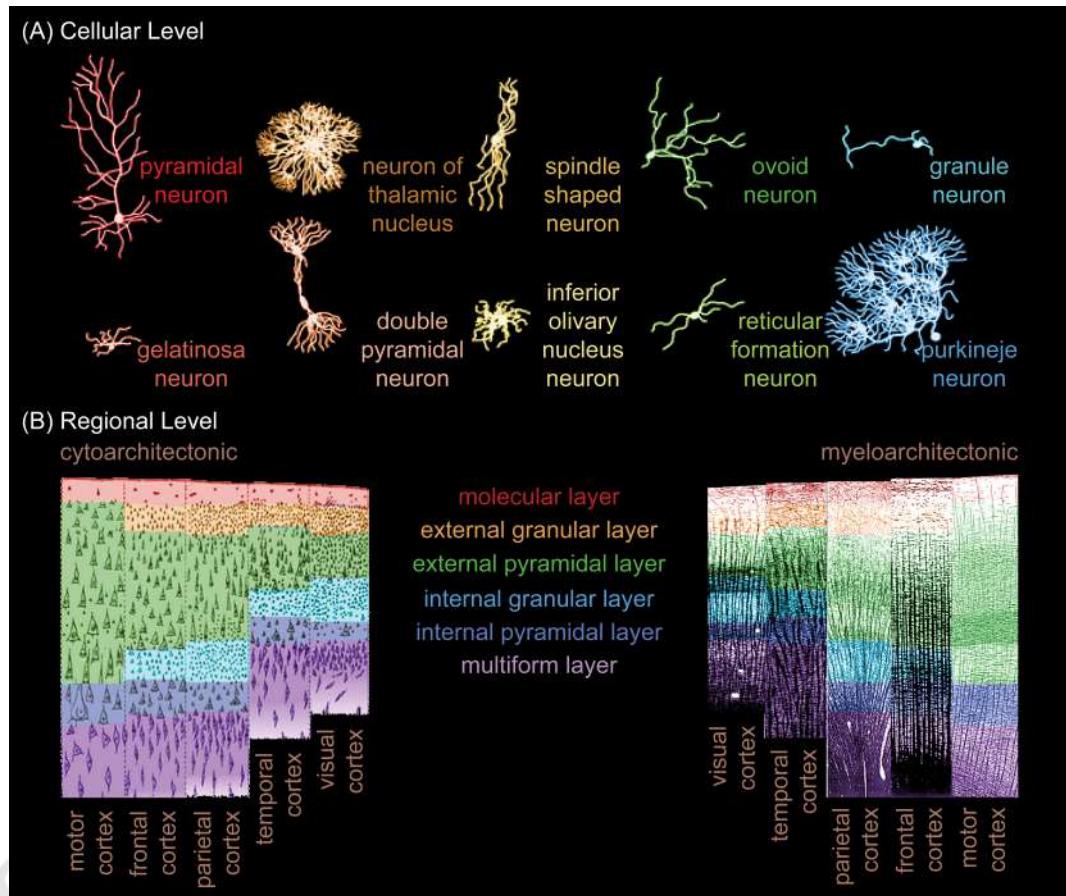


Figure 2: Structural Diversity in the Brain. No two neurons are identical. A key feature that distinguishes the brain from other organs is the cell diversity, driven largely by the structural differences between neurons. (A) At the cellular level, the morphology of neuron cell bodies and the morphology of neuronal connections differ substantially from neuron to neuron. The structural differences shape the functional differences between neurons and provide the basis for neuron type classification. (B) At the regional level, the distribution of neurons (cytoarchitecture) and the distribution of neuronal connections (myeloarchitecture) differ substantially from region to region. The structural differences shape the functional differences between brain regions and provide the basis for the parcellation of brain regions.

214 to a network where the nodes are structurally uniform, will have an exponentially  
 215 higher complexity level. Take a four-node network as an example: if the nodes had  
 216 no structural differences and were interchangeable, there would exist six different  
 217 ways of constructing the network, with one, two, three, four, five, or six connec-  
 218 tions in the network (Figure ??). However, if the nodes had different structures  
 219 and were not interchangeable, there would exist sixty-three different ways of con-  
 220 structing the network (Figure ??), including six different ways of constructing a  
 221 one-connection network, fifteen different ways of constructing a two-connection  
 222 network, twenty different ways of constructing a three-connection network, fif-

223 teen different ways of constructing a four-connection network, six different ways  
224 of constructing a five-connection network, and one way of constructing a six-  
225 connection network. The linear growth of network complexity with network size  
226 in the former case, compared to the exponential growth in the latter case, demon-  
227 strates the contribution of structural diversity to the structural complexity of the  
228 brain.

229 The structural diversity also contributes to the joint satisfaction of differen-  
230 tiation and integration. The structural diversity between nodes will lead to the  
231 functional diversity between nodes and facilitate their differentiation. The struc-  
232 tural diversity between connections, on the other hand, will lead to an uneven sig-  
233 nal transmission across the network and facilitate the differentiation among the  
234 weakly linked nodes as well as the integration among the strongly linked nodes.  
235 Therefore, the structural diversity, on its own and independent of the network  
236 topology, can give rise to a high level of differentiation as well as a high level of  
237 integration, which in turn enhances the functionality of the brain.

### 238 **2.3 Non-invasive imaging of network topology and struc- 239 tural diversity**

240 Before the introduction of magnetic resonance imaging, the topology of neuronal  
241 connections and the structural diversity between neurons were measurable only  
242 through histological staining, in-vitro and invasively. With the development of  
243 magnetic resonance imaging, magnetic resonance signals can serve as the virtual  
244 stains to measure these structural features, in-vivo and non-invasively. In what fol-  
245 lows, we will give an overview of how magnetic resonance imaging can be applied  
246 to measure the macro-structure and micro-structure of the human brain.

247 At the macro-structural level, the brain is composed of three major tissues:  
248 grey matter, white matter, and cerebrospinal fluid. At the micro-structural level,  
249 grey matter is composed of neuron cell bodies, dendrites, and unmyelinated axons  
250 (which form the short-distance, intra-regional connections), whereas white mat-  
251 ter is composed of myelinated axons (which form the long-distance, inter-regional  
252 connections). Cerebrospinal fluid, on the other hand, is the body fluid that pro-  
253 vides support for the grey matter and white matter. Due to their differences in  
254 cellular composition, grey matter, white matter, and cerebrospinal fluid have dif-  
255 ferent fat and water contents. Utilizing the sensitivity of magnetic resonance sig-  
256 nals towards fat and water, the structure of human brain can be non-invasively  
257 imaged, with the image intensity values reflecting the gross anatomy of brain re-  
258 gions (macro-structure) or the morphology of neurons and neuronal connections  
259 (micro-structure).

260 To measure the gross anatomy of brain regions, spin relaxation signal is often  
261 acquired, producing brain images where different brain tissues have distinct image  
262 intensity values as a result of their differences in fat and water contents (Glasser,  
263 Coalson, et al., 2016; Weiskopf et al., 2013). Based on the image intensity values, the

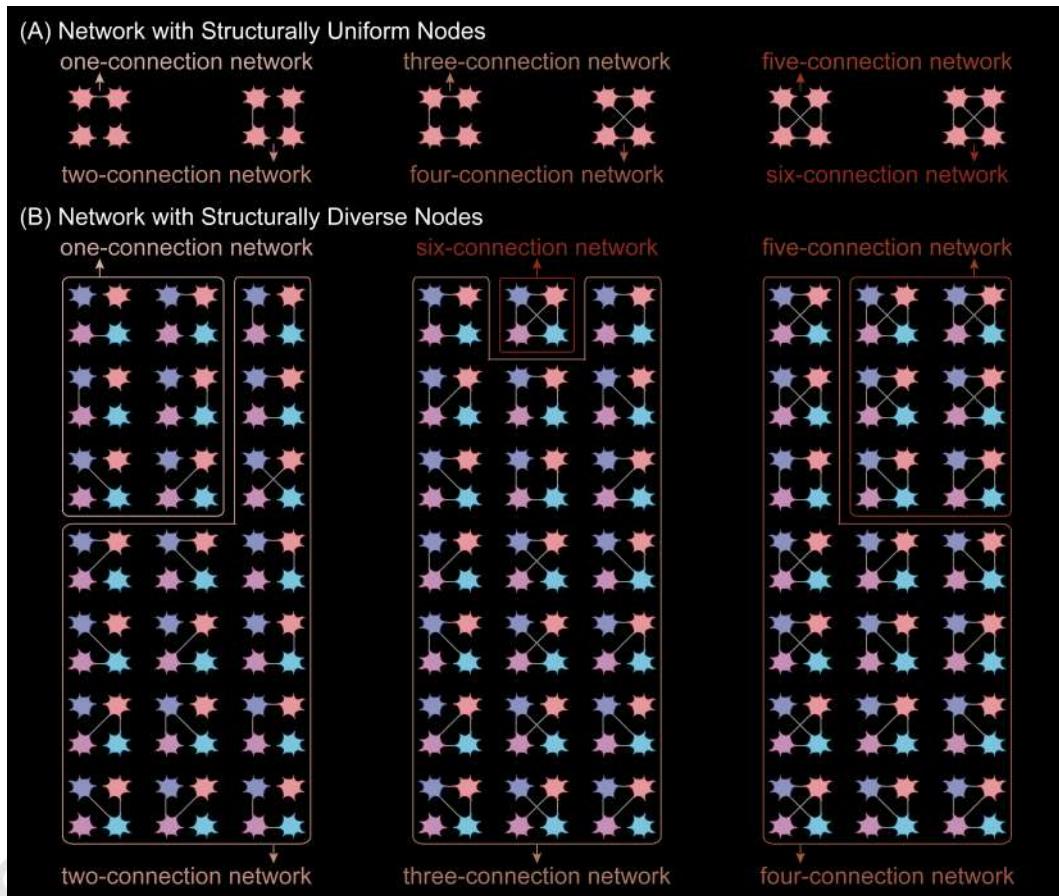


Figure 3: Contributions of Structural Diversity to Network Complexity. A network where the nodes are structurally diverse, compared to a network where the nodes are structurally uniform, will have a complexity level that is exponentially higher. (A) Take a four-node network as an example: if the nodes had no structural differences and were interchangeable, there would exist six different ways of constructing the network, with one, two, three, four, five, or six connections in the network. (B) However, if the nodes had different structures and were not interchangeable, there would exist sixty-three different ways of constructing the network, including six different ways of constructing a one-connection network, fifteen different ways of constructing a two-connection network, twenty different ways of constructing a three-connection network, fifteen different ways of constructing a four-connection network, six different ways of constructing a five-connection network, and one way of constructing a six-connection network.

264 brain images can be segmented into grey matter, white matter, and cerebrospinal  
 265 fluid, from which the three-dimensional brain models can be created (Figure ??).  
 266 The three-dimensional brain models capture the morphology of the cortex and the  
 267 subcortex, which in turn provide the anatomical landmarks for the parcellation  
 268 of brain regions (Dale et al., 1999; Fischl et al., 1999). For individual brain region  
 269 parcellated, its volume and its surface area can be calculated as the summed volume  
 270 and the summed surface area across all cubic voxels in this region, respectively.

271 For individual cortical location delineated, its thickness can be calculated as the  
272 distance between the inner and outer surfaces of the cortex.

273 To measure the morphology of neurons and neuronal connections, molecular  
274 diffusion signal is often acquired, producing brain images that reflect the trajectory  
275 of molecular diffusion in brain tissues (Le Bihan & Iima, 2015). In an unconstrained  
276 tissue environment such as the cerebrospinal fluid, the molecular diffusion has an  
277 isotropic trajectory. By contrast, in a constrained tissue environment such as the  
278 white matter or grey matter, the molecular diffusion in extra-cellular space is par-  
279 tially hindered by the cell membrane, while that in intra-cellular space is fully re-  
280 stricted by the cell membrane (Figure ??). The restricted trajectory of intra-cellular  
281 diffusion enables the neuronal morphology, including the size of neuron cell bod-  
282 ies, the diameter of axonal connections, the trajectory of axonal connections, and  
283 the branching of dendritic connections, to be measured from molecular diffusion  
284 signal (Assaf et al., 2008; Assaf & Basser, 2005; Palombo et al., 2016, 2018).

## 285 2.4 Brain architecture optimal for functionality

286 Taken together, recent advances in brain imaging techniques have made it possi-  
287 ble to measure the biophysical structure of human brain, from the morphology of  
288 neurons and neuronal connections to the gross anatomy of brain regions, in-vivo  
289 and non-invasively. Based on the measures, the volume of brain regions (organ  
290 size), the number of neurons (cell number), the structural diversity between neu-  
291 rons (cell diversity), and the topology of neuronal connections (cell-cell interac-  
292 tion) can all be estimated. The structural diversity between neurons (cell diversity)  
293 and the topology of neuronal connections (cell-cell interaction), as opposed to the  
294 sheer number of neurons (cell number) or the sheer volume of brain (organ size),  
295 are what underlie the structural complexity of the brain. When the structural di-  
296 versity between neurons is high and the connections between neurons follow a  
297 modular topology, neurons will become functionally differentiable and function-  
298 ally integrable with each other at the same time. The high levels of differentiation  
299 and integration, in turn, enable the maximal number of functions to be generated  
300 from the minimal number of neurons and neuronal connections, at the minimal  
301 cost of biological material, physical space, and metabolic energy.

302 As such, an optimal brain architecture is not necessarily constituted of more  
303 neurons or neuronal connections; rather, it is one where the neurons and neuronal  
304 connections are structured to produce the highest levels of differentiation and in-  
305 tegration. However, is such a brain architecture, optimal for brain functionality,  
306 also optimal for consciousness? In the following section, we will look into what  
307 constitutes an optimal brain architecture for consciousness.

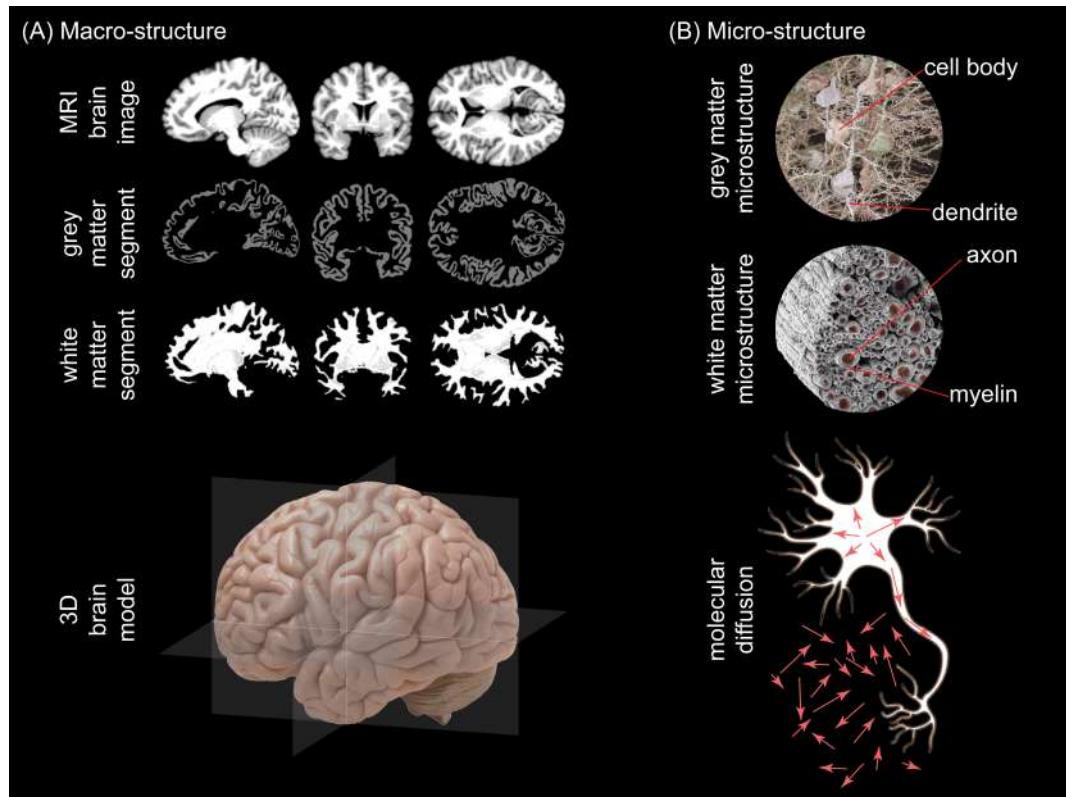


Figure 4: Structural Imaging of the Brain. The brain can be characterized at multiple levels. (A) At the macro-structural level, the brain is composed of three major tissues: grey matter, white matter, and cerebrospinal fluid. To measure the macro-structure of the brain, spin relaxation signal is often acquired, producing brain images where different brain tissues have distinct image intensity values as a result of their differences in fat and water contents. Based on the image intensity values, the brain images can be segmented into grey matter and white matter, from which the three-dimensional brain models can be created. (B) At the micro-structural level, grey matter is composed of neuron cell bodies, dendrites, and unmyelinated axons, whereas white matter is composed of myelinated axons. To measure the micro-structure of the brain, molecular diffusion signal is often acquired, producing brain images that reflect the trajectory of molecular diffusion. The molecular diffusion in extra-cellular space is partially hindered by the cell membrane, while that in intra-cellular space is fully restricted by the cell membrane. The restricted trajectory of intra-cellular diffusion enables the neuronal morphology, including the size of neuron cell bodies, the diameter of axonal connections, the trajectory of axonal connections, and the branching of dendritic connections, to be measured.

### <sup>308</sup> 3 From brain structural complexity to consciousness-

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<sup>310</sup> Consciousness, in theory, is what every one of us should be most familiar with. Af-

<sup>311</sup> ter all, everything we know about ourselves and about the external world around

us is via the lens of our own conscious experiences, and the reason why we get to form our unique identity of self and our unique perspective of the world is due to the subjectivity of conscious experiences. However, consciousness, in reality, is probably what the majority of us are most unfamiliar rather than familiar with. We often take consciousness for granted and rarely reflect upon our own conscious experiences; even when we do, different people tend to form different understanding about consciousness, exactly as a result of its subjectivity.

The lack of consensus on consciousness makes it an easy target for philosophic debates, but at the same time, a difficult topic for scientific investigations. The difficulty, first and foremost, is reflected in the conflicting definitions of consciousness. At one end of the spectrum, consciousness has been defined as being aware of and responsive to the external world (Sutherland, 1995). At the other end of the spectrum, consciousness has been equated to being aware of oneself (Lisman, 2017). Both definitions, however, are misleading. Consciousness is literally anything and everything that one experiences, including but not limited to the experience of the external world and the experience of oneself (Koch, 2019). A case in point is dream consciousness, during which one is fully conscious, yet neither of the external world nor necessarily of oneself (Siclari et al., 2013; Windt, 2015).

The inclusiveness of conscious experiences renders it difficult to measure and study. Indeed, if consciousness is any experience and every experience, how can one identify the single brain mechanism that accounts for the variety of conscious experiences? In essence, the mechanism needs to explain visual experience, auditory experience, experience of excitement, experience of sadness, experience of self-esteem, experience of self-doubt, and countless number of other experiences. One possible solution here is to identify the common properties shared by different conscious experiences and search for the brain mechanism that can account for these common properties. In the following subsections, we will discuss what the common properties of conscious experiences are and how, based on these common properties, we can investigate the relationship between brain structural complexity and consciousness.

### 3.1 Properties of consciousness

Consciousness has two properties: differentiation and integration (Tononi et al., 1994; Tononi et al., 2016; Tononi & Edelman, 1998). No matter what one is experiencing, one's conscious experience is always integrated and structured. The exact way the conscious experience is integrated and structured, as well as the exact contents of conscious experience, however, differ from one conscious experience to the other, which reflects the differentiation aspect of consciousness. As an example, when reading this sentence, the visual appearance of the texts, the semantic meaning of the texts, the thinking triggered by the texts, and the emotions evoked by the text are all parts of an integrated, structured conscious experience. The exact way these parts are integrated and structured, as well as their exact contents,

353 are unique to this conscious experience and are what differentiate it from other  
354 conscious experiences.

355 The two properties, differentiation and integration, are also what effectively  
356 enrich consciousness (Figure ??). Without the former, consciousness would be re-  
357 duced to a repertoire of identical, undifferentiable experiences (Figure ??). Without  
358 the latter, consciousness would be reduced to a repertoire of unstructured, unin-  
359 tegrated experiences (Figure ??). Therefore, in order for consciousness to be rich,  
360 different conscious experiences need to be highly differentiated, and at the same  
361 time, individual conscious experience needs to be highly integrated and structured  
362 (Tononi et al., 1994; Tononi et al., 2016; Tononi & Edelman, 1998).

### 363 3.2 Brain architecture optimal for consciousness

364 To support the two properties of consciousness, neurons need to be functionally  
365 differentiable and functionally integrable with each other at the same time, via  
366 which they can produce a set of differentiated yet integrated activity patterns that  
367 can then give rise to a repertoire of differentiated yet integrated conscious ex-  
368 periences. All these, in turn, require the structural diversity between neurons to  
369 be high and the connections between neurons to follow a modular topology. If  
370 neurons are functionally identical to each other, for example as a result of lack-  
371 ing structural diversity or as a result of being over-connected, they will be fully  
372 synchronized in their activities and fail to produce differentiated activity patterns;  
373 subsequently, consciousness will be reduced to a repertoire of identical, undiffer-  
374 entiable experiences (Figure ??). If neurons are not functionally integrable with  
375 one another, for example as a result of being under-connected, they will fail to  
376 produce structured and integrated activity patterns; subsequently, consciousness  
377 will be reduced to a repertoire of unstructured, unintegrated experiences (Figure  
378 ??).

379 Thus, the structural diversity between neurons and the topology of neuronal  
380 connections are not only the very features that distinguish the brain from other  
381 organs, underlie brain structural complexity, enhance brain functionality, but also  
382 the very factors that give rise to the properties (differentiation, integration) and the  
383 richness of consciousness. When the structural diversity between neurons is high  
384 and the connections between neurons follow a modular topology, a brain with a  
385 smaller volume, less neurons, and less neuronal connections can outperform its  
386 counterpart with a larger volume, more neurons, and more neuronal connections,  
387 by producing higher structural complexity, better brain functionality, as well as  
388 richer conscious experiences.

389 In this sense, an optimal brain architecture for consciousness is not one with  
390 a larger volume, more neurons, or more neuronal connections (“the more the bet-  
391 ter”); on the contrary, it is one where the largest repertoire of conscious ex-  
392 periences is generated from the smallest number of neurons and neuronal connec-  
393 tions, at the minimal cost of biological material, physical space, and metabolic en-

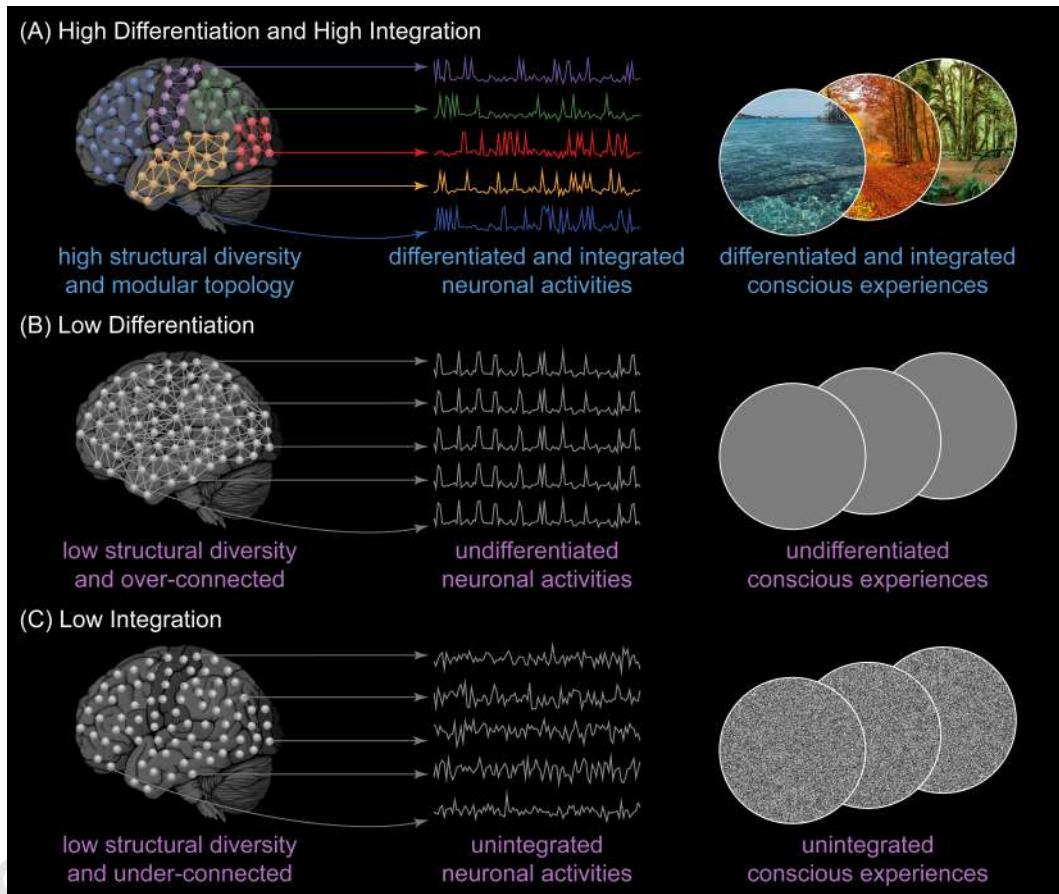


Figure 5: Brain Architecture Optimal for Consciousness. (A) Consciousness has two basic properties: differentiation and integration. To support them, neurons need to be functionally differentiable and functionally integrable with one another, via which they can produce a set of differentiated yet integrated activity patterns that can then give rise to a repertoire of differentiated yet integrated conscious experiences. These, in turn, require the structural diversity between neurons to be high and the connections between neurons to follow a modular topology. (B) If neurons are functionally identical to each other, for example as a result of lacking structural diversity or being over-connected, they will be synchronized in their activities and fail to produce differentiated activity patterns; subsequently, consciousness will be reduced to a repertoire of identical, undifferentiable experiences. (C) If neurons are not functionally integrable with one another, for example as a result of being under-connected, they will fail to produce structured and integrated activity patterns; subsequently, consciousness will be reduced to a repertoire of unstructured, unintegrated experiences.

<sup>394</sup> ergy (“less is more”). The idea “less is more” may appear counter-intuitive; it also  
<sup>395</sup> contradicts the current practice in the field. Currently, under the common assumption  
<sup>396</sup> “the more the better,” researchers often search for brain region whose volume  
<sup>397</sup> correlates positively with inter-individual difference or intra-individual change in  
<sup>398</sup> behavioural performance (Kanai & Rees, 2011); the observation of positive corre-

399 lation is taken as evidence for the involvement of this brain region, whereas the  
400 observation of negative correlation is overlooked. By contrast, following the idea  
401 “less is more,” one would expect the negative correlation between brain volume  
402 and behavioural performance to be meaningful.

403 Despite its counter-intuitiveness, the idea “less is more” has received support  
404 from a number of studies, thanks to the advances in brain imaging techniques.  
405 These studies reveal that across individuals, those with a smaller brain volume but  
406 a higher structural diversity tend to have richer consciousness than those with a  
407 larger brain volume but a lower structural diversity; moreover, within individuals,  
408 a reduction in neuronal connections, if accompanied by an increase in structural  
409 diversity, will lead to richer consciousness, whereas an increase in neuronal con-  
410 nections, if accompanied by a decrease in structural diversity, will lead to poorer  
411 consciousness. In what follows, we will discuss these studies and look into the  
412 relationship between brain structural complexity and consciousness.

### 413 3.3 Inter-individual differences in brain structural complex- 414 ity and consciousness

415 Just as conscious experiences are unique to each individual, the brain structure  
416 of each individual is also highly unique. Utilizing the inter-individual differences  
417 in brain structure, studies have investigated the relationship between brain struc-  
418 ture complexity and consciousness. Most of these studies use visual cortex to ad-  
419 dress this question, since visual cortical regions are the most variable regions in  
420 the human brain. Specifically, there exist over twenty visual cortical regions in  
421 the human brain, accounting for one-third of the brain volume (Silver & Kastner,  
422 2009; Wandell et al., 2007; Wandell & Winawer, 2015). Although on average these  
423 regions account for one-third of the brain volume, their exact proportion in the  
424 brain and their exact volume can differ across healthy human adults over three-  
425 folds, which is far greater than the inter-individual difference in other regional  
426 volumes or the total brain volume (Andrews et al., 1997; Song, Schwarzkopf, Kanai,  
427 et al., 2011).

428 The volume of visual cortex is determined by two genetically independent fac-  
429 tors, visual cortical surface area and visual cortical thickness (Chen et al., 2011;  
430 Joyner et al., 2009; Panizzon et al., 2009; Song et al., 2015). Visual cortical surface  
431 area affects the number of cortical columns per cortical region. As neurons in  
432 different cortical columns exhibit distinct response properties and distinct ontoge-  
433 netic origins (Figure ??), having a larger visual cortical surface area and a larger  
434 number of cortical columns per cortical region will result in a higher level of struc-  
435 tural diversity and functional diversity (Ko et al., 2011; Rakic, 1988; Yu et al., 2009).  
436 Visual cortical thickness, on the other hand, affects the number of neurons per  
437 cortical column. As neurons in the same cortical column exhibit similar response  
438 properties and similar ontogenetic origins (Figure ??), having a larger cortical thick-  
439 ness and a larger number of neurons per cortical column will instead result in a

lower level of structural diversity and functional diversity (Ko et al., 2011; Rakic, 1988; Yu et al., 2009).

Because a higher level of structural diversity is associated with a larger visual cortical surface area but a smaller visual cortical thickness, the two hypotheses, “less is more” versus “the more the better,” would make opposite predictions about the relationship between visual cortical structure and visual consciousness. Based on the hypothesis “less is more,” one would predict the richness of visual consciousness to co-vary with the level of structural diversity and as such, correlate positively with visual cortical surface area but negatively with visual cortical thickness. By contrast, based on the hypothesis “the more the better,” one would predict the richness of visual consciousness to co-vary with the sheer volume of visual cortex and therefore correlate positively with both visual cortical surface area and visual cortical thickness.

The empirical evidences so far have supported the hypothesis “less is more.” Compared to individuals with a smaller visual cortical surface area, individuals with a larger visual cortical surface area tend to have richer visual consciousness, reflected both in the level of differentiation and in the level of integration (Figure ??). Those individuals are able to discriminate finer differences between visual inputs, which indicates more differentiated visual experiences (Song et al., 2015; Song, Schwarzkopf, & Rees, 2013). They also report less perceptual distortion in visual contextual illusions, which indicates more integrated and structured visual experiences (Schwarzkopf et al., 2011; Song, Schwarzkopf, & Rees, 2011; Song, Schwarzkopf, Lutti, et al., 2013; Song, Schwarzkopf, & Rees, 2013; Song & Rees, 2018). Notably, the exact opposite relationship was observed between visual cortical thickness and visual consciousness (Figure ??), where a larger visual cortical thickness is associated with less differentiated, less integrated visual experiences (Song et al., 2015).

The impacts of visual cortical structure on visual consciousness are recapitulated in visual neuronal functions (Figure ??). As the surface area of a visual cortical region increases, individual cortical columns in this region tend to respond to smaller, more specific ranges of visual field locations, and different cortical columns to less overlapping, more distinct ranges of visual field locations, which indicates a higher level of differentiation (Song et al., 2015); at the same time, the interactions between these cortical columns are more structured, with stronger interactions between functionally similar cortical columns, and weaker interactions between functionally distinct cortical columns, which indicates a higher level of integration. The exact opposite pattern was observed for visual cortical thickness. As the thickness of a visual cortical region increases, individual cortical columns in the region tend to respond to larger, less specific ranges of visual field locations, and different cortical columns to less distinct, more overlapping ranges of visual field locations, which indicates a lower level of differentiation (Song et al., 2015); moreover, the interactions between these cortical columns are less structured, which indicates a lower level of integration.

483 Thus, an optimal cortical architecture is constituted of a larger cortical surface  
484 area (more cortical columns per cortical region) but a smaller cortical thickness  
485 (less neurons per cortical column), and it is not the sheer volume but the structural  
486 diversity that matters. By distributing neurons into different cortical columns, this  
487 cortical architecture maximizes the level of structural diversity, which in turn gives  
488 rise to higher structural complexity, better neuronal functionality, and richer con-  
489 scious experiences. Indeed, individuals with such a cortical architecture tend to  
490 have richer consciousness: they can discriminate finer differences between visual  
491 inputs (higher level of differentiation) and experience less perceptual distortion in  
492 visual contextual illusions (higher level of integration); neurons in such a cortical  
493 architecture also exhibit better functionality: they can respond to less overlapping,  
494 more distinct ranges of visual field locations (higher level of differentiation) and  
495 have more structured interactions (higher level of integration).

### 496 3.4 Intra-individual changes in brain structural complexity 497 and consciousness

498 A remarkable feature of the human brain is its adaptability and plasticity. Changes  
499 in brain structure occur not only when one is awake and interacting with the ex-  
500 ternal world, but also when one is asleep (Bernardi et al., 2016; Cirelli, 2013; Song  
501 et al., 2017; Song & Tagliazucchi, 2020; Tononi & Cirelli, 2014; Vivo et al., 2017).  
502 Utilizing the intra-individual changes in brain structure across the sleep-wake cy-  
503 cle, studies have investigated the relationship between brain structure complexity  
504 and consciousness.

505 Specifically, during wakefulness, the brain is constantly interacting with the ex-  
506 ternal world and its activity is driven primarily by inputs from the external world.  
507 The external inputs tend to co-activate different neurons, regardless of whether  
508 these neurons are previously unconnected, sparsely connected, or densely con-  
509 nected. The neuronal co-activation, in turn, will lead to a general increase in the  
510 number and strength of neuronal connections across the brain (Cirelli, 2013; Song  
511 & Tagliazucchi, 2020; Tononi & Cirelli, 2014). These wake-associated changes in  
512 brain structure are not sustainable (Figure ??): as neurons across the brain all get  
513 connected and neuronal connections across the brain all become saturated, the  
514 structural diversity between neurons and the structural diversity between neu-  
515 ronal connections will decrease; moreover, if the increase in neuronal connections  
516 continues without limits, for example due to prolonged wakefulness, the brain will  
517 eventually use up biological material to build, physical space to accommodate, and  
518 metabolic energy to support any further connections.

519 By contrast, during sleep, the brain is disconnected from the external world and  
520 its activity is driven primarily by itself. The self-driven neuronal activity exhibits  
521 spontaneous alternations between periods of intense firing and periods of silence,  
522 which in turn will lead to the pruning of weak neuronal connections and the stabi-  
523 lization of strong neuronal connections (Cirelli, 2013; Song & Tagliazucchi, 2020;

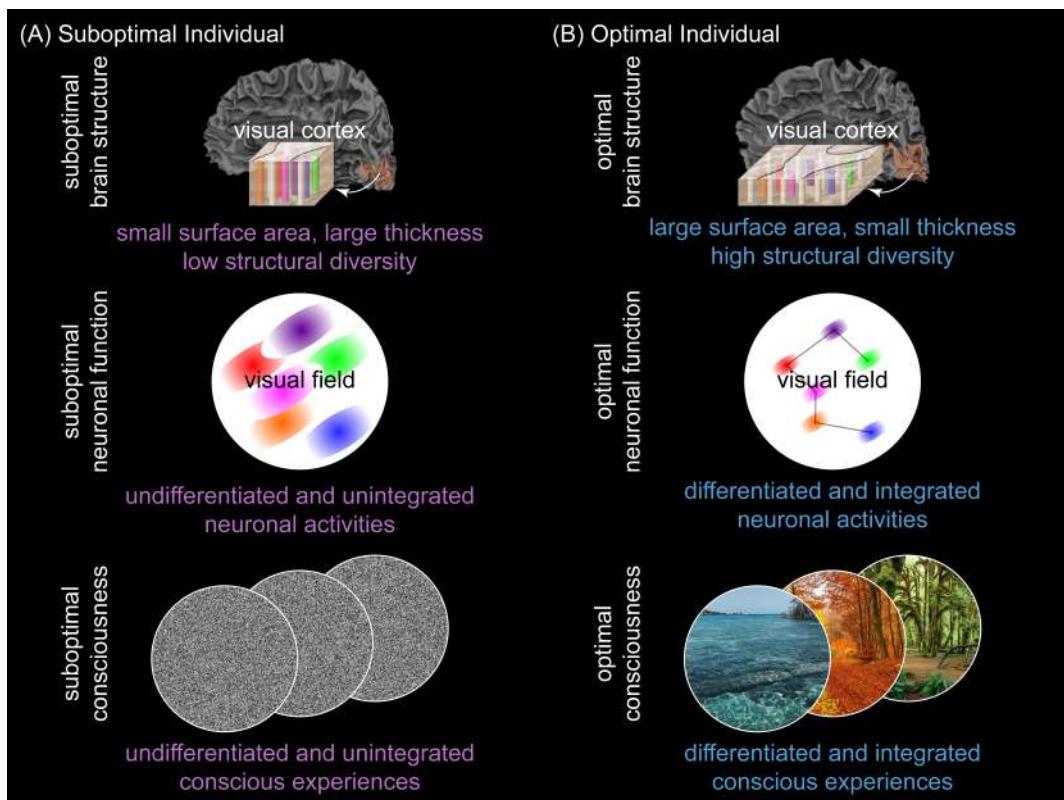


Figure 6: Inter-individual Differences in Brain Structural Complexity and Consciousness. Utilizing the inter-individual differences in cortical structure, studies have investigated the relationship between brain structure complexity and consciousness. The cortical structure is characterized by two independent factors, cortical surface area and cortical thickness. Cortical surface area affects the number of cortical columns per cortical region, and cortical thickness the number of neurons per cortical column. Since neurons in different cortical columns exhibit distinct response properties and distinct ontogenetic origins, whereas neurons in the same cortical column exhibit similar response properties and similar ontogenetic origins, having a larger cortical surface area (more cortical columns) and a smaller cortical thickness (less neurons per cortical column) can maximize the level of structural diversity in a cortical region, which can in turn give rise to higher structural complexity, better neuronal functionality, and richer conscious experiences. Indeed, individuals with such a visual cortical structure were found to have richer conscious experiences: they can discriminate finer differences between visual inputs (higher differentiation) and experience less distortion in visual illusions (higher integration); neurons in such a visual cortical structure also exhibit better functionality: they respond to less overlapping, more distinct ranges of visual field locations (higher differentiation) and have more structured interactions (higher integration).

524 Tononi & Cirelli, 2014). These sleep-associated changes in brain structure are ben-  
 525 efiticial (Figure ??): as the weak connections get pruned and the strong connections  
 526 get stabilized, the structural diversity between neurons and the structural diver-  
 527 sity between neuronal connections will increase; moreover, by pruning the weak

528 connections, the brain will free up biological material to build, physical space to  
529 accommodate, and metabolic energy to support new connections.

530 Because the number of neuronal connections and the level of structural diver-  
531 sity exhibit opposite changes across the sleep-wake cycle, with the former increas-  
532 ing during wakefulness and decreasing after sleep, whereas the latter decreasing  
533 during wakefulness and increasing after sleep, the two hypotheses, “less is more”  
534 versus “the more the better,” would make opposite predictions about the impacts  
535 of these structural changes on consciousness. Based on the hypothesis “less is  
536 more,” one would predict the richness of conscious experiences to co-vary with  
537 the level of structural diversity and therefore decrease over the course of wakeful-  
538 ness but rebound after sleep. By contrast, based on the hypothesis “the more the  
539 better,” one would predict the richness of conscious experiences to co-vary with  
540 the sheer number of neuronal connections and therefore increase over the course  
541 of wakefulness but decrease after sleep.

542 So far, the empirical evidences have supported the hypothesis “less is more.”  
543 Over the course of prolonged wakefulness, impairments in consciousness are of-  
544 ten reported, including abnormal sensory experiences such as sensory distortion  
545 or sensory hallucination, difficulties in emotion regulation such as emotional over-  
546 whelm or emotional insensitivity, and cloudiness in thinking such as delusional  
547 thoughts or paranoid thoughts (Krause et al., 2017). These impairments are re-  
548 versible by sleep, during which the wake-associated changes in brain structure are  
549 also reversed (Cirelli, 2013; Song & Tagliazucchi, 2020; Tononi & Cirelli, 2014). The  
550 homeostatic changes in brain structure and conscious experiences hint towards a  
551 positive correlation between the level of structural diversity and the richness of  
552 conscious experiences but a negative correlation between the sheer number of  
553 neuronal connections and the richness of conscious experiences.

554 Therefore, having more neuronal connections is not necessarily beneficial for  
555 the brain or for consciousness. On the contrary, an increase in neuronal connec-  
556 tions can lead to impaired brain functionality and impaired consciousness, if the in-  
557 crease is accompanied by a decrease in structural diversity. Such adverse changes  
558 in brain structure in fact occur on a day-to-day basis, as the price that we pay  
559 for being awake. By pruning the excessive neuronal connections and restoring  
560 the structural diversity, sleep plays an essential role in the homeostatic optimiza-  
561 tion of brain structure and the homeostatic regulation of brain functionality and  
562 consciousness.

## 563 4 Summary and future perspectives

564 In this article, we reviewed the contributions of advanced brain imaging tech-  
565 niques towards uncovering brain structural complexity and its relationship to con-  
566 sciousness. Over the past decade, advances in magnetic resonance imaging have  
567 made it possible to measure the biophysical structure of human brain, from the  
568 morphology of neurons and neuronal connections to the gross anatomy of brain

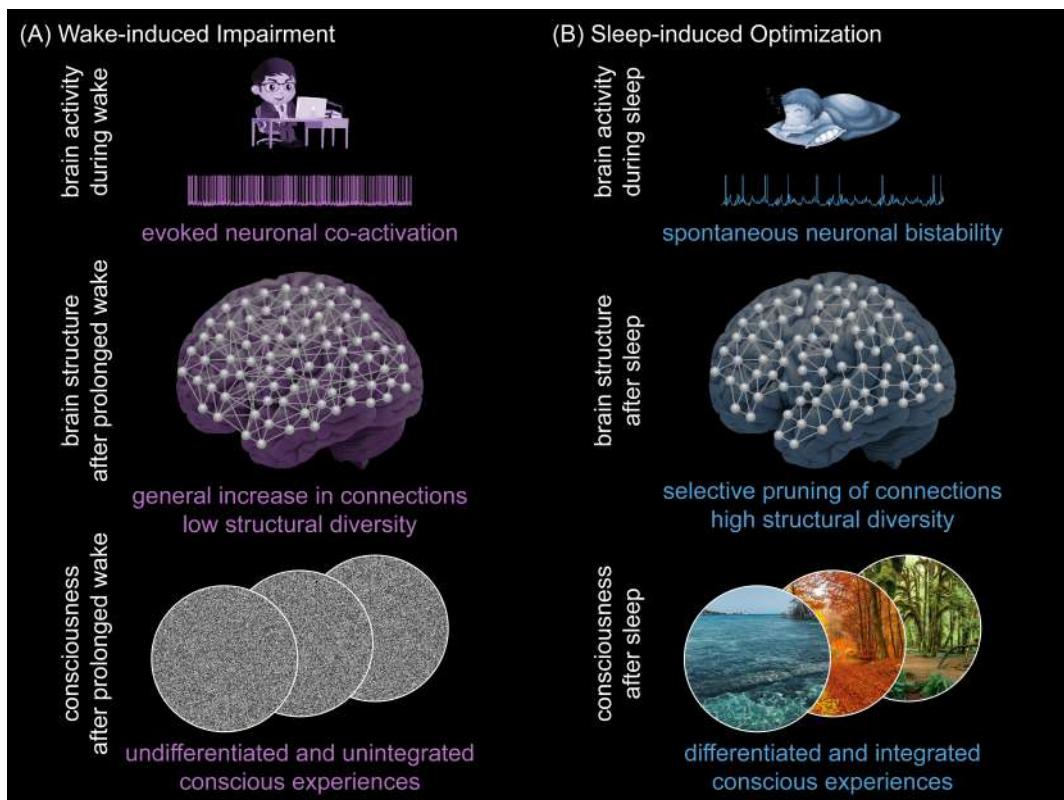


Figure 7: Intra-individual Changes in Brain Structural Complexity and Consciousness. Utilizing the intra-individual changes in brain structure across the sleep-wake cycle, studies have investigated the relationship between brain structure complexity and consciousness. (A) During wakefulness, the brain activity is driven primarily by inputs from the external world. The external inputs will co-activate different neurons, leading to a general increase in neuronal connections across the brain. As neurons across the brain all get connected and neuronal connections across the brain all become saturated, the structural diversity between neurons or neuronal connections will decrease, which will result in the impairments of brain functionality and consciousness commonly observed after prolonged wakefulness. (B) During sleep, the brain activity is driven primarily by the brain itself. The self-driven neuronal activity exhibits spontaneous alternations between periods of intense firing and periods of silence, which will lead to the pruning of weak connections and the stabilization of strong connections. As the weak connections get pruned and the strong connections get stabilized, the structural diversity between neurons or neuronal connections will increase, resulting in the restoration of brain functionality and consciousness commonly observed after sleep.

569 regions, in-vivo and non-invasively. Using advanced brain imaging techniques,  
 570 studies are able to identify the structural features key to consciousness and ex-  
 571 plore what constitutes an optimal brain architecture for consciousness.

572 It was discovered that the structural diversity between neurons (cell diversity)  
 573 and the topology of neuronal connections (cell-cell interaction), as opposed to the  
 574 sheer number of neurons (cell number) or the sheer volume of brain (organ size),

575 are the key features that distinguish the brain from other organs, underlie brain  
576 structural complexity, enhance brain functionality, and give rise to consciousness.  
577 When the structural diversity between neurons is high and the connections be-  
578 tween neurons follow a modular topology, neurons will become functionally dif-  
579 ferentiable and at the same time, functionally integrable with each other. The  
580 high levels of differentiation and integration, in turn, enable the brain to produce  
581 a large set of differentiated yet integrated activity patterns, and subsequently, a  
582 large repertoire of differentiated yet integrated conscious experiences, from the  
583 smallest number of neurons and neuronal connections. If neurons are func-  
584 tionally identical to each other, for example as a result of lacking structural diversity  
585 or as a result of being over-connected, they will be fully synchronized in their ac-  
586 tivities and fail to produce differentiated activity patterns; without differentiation,  
587 consciousness will be reduced to a repertoire of identical, undifferentiable experi-  
588 ences. If neurons are not functionally integrable with one another, for example  
589 as a result of being under-connected, they will fail to produce structured and in-  
590 tegrated activity patterns; without integration, consciousness will be reduced to a  
591 repertoire of unstructured, unintegrated experiences.

592 Therefore, an optimal brain architecture for consciousness is not necessarily  
593 constituted of a larger volume, more neurons, or more neuronal connections (“the  
594 more the better”); on the contrary, it is one where the largest repertoire of con-  
595 scious experiences is generated from the smallest number of neurons and neu-  
596 ronal connections, at the minimal cost of biological material, physical space, and  
597 metabolic energy (“less is more”). The idea “less is more” may appear counter-  
598 intuitive. However, it has received support from a number of studies, thanks to the  
599 advances in brain imaging techniques. These studies reveal that across individuals,  
600 those with a smaller brain volume but a higher structural diversity tend to have  
601 richer conscious experiences than those with a larger brain volume but a lower  
602 structural diversity; moreover, within individuals, a reduction in neuronal connec-  
603 tions, if accompanied by an increase in structural diversity, will lead to richer con-  
604 scious experiences, whereas an increase in neuronal connections, if accompanied  
605 by a decrease in structural diversity, will lead to poorer conscious experiences.

606 Despite all these progress made towards understanding the structural basis of  
607 consciousness, many open questions remain. For example, how the structural di-  
608 versity between neurons is generated at the first place remains largely unclear.  
609 According to Darwinism, diversity is a basic property of biological systems. It  
610 is generated at the genotype level by random genetic accident, amplified at the  
611 phenotype level by gene-environment interaction, and reinforced at the evolution  
612 level by natural selection. Darwin proposed that the diversity between individ-  
613 uals can enhance the adaptivity and the resilience of the population (Forsman,  
614 2014; Norberg et al., 2001), as the diversity enables different individuals of the  
615 population to perform mutually incompatible functions (akin to differentiation) in  
616 a collaborative way (akin to integration). Given its functional benefits, the trait

617 of inter-individual diversity is favoured, preserved and reinforced by evolution,  
618 which underlies the biodiversity in our current world.

619 Possibly, just as the diversity within a population between its individuals can  
620 enhance the adaptivity of the population, the diversity within an individual be-  
621 tween its cells can enhance the adaptivity of the individual and is therefore simi-  
622 larly reinforced by evolution (Bryant & Mostov, 2008). This explains the increase  
623 in cellular diversity along evolution, from unicellular organism where a single cell  
624 carries out all functions, to multicellular organism where different cells carry out  
625 distinct functions in a collaborative way (Bryant & Mostov, 2008). This also ex-  
626 plains why evolutionarily younger organs tend to have higher cellular diversity  
627 than evolutionarily older organs, and why the brain has the highest cellular di-  
628 versity among all organs (Bail et al., 2021; Bakken et al., 2020; Bryant & Mostov,  
629 2008). The fact that the brain surpasses other organs not in absolute size but in  
630 cellular diversity provides further evidence for the idea “less is more” and against  
631 the conventional wisdom “the more the better.”

632 Moving forward, future research may apply single cell sequencing to investi-  
633 gate the origin of structural diversity between neurons. This technique enables si-  
634 multaneous profiling of genome, epigenome, transcriptome, and proteome at the  
635 resolution of single cells (Eberwine et al., 2014; Macaulay et al., 2017). Using it,  
636 studies have unveiled an incredible amount of genomic diversity between neurons  
637 (Darmanis et al., 2015; Lake et al., 2016), which overturned the conventional view  
638 that different neurons in the same brain all carry the same genome, and their struc-  
639 tural diversity arises epigenomically, transcriptomically, or proteomically from  
640 how that genome is expressed. The technique of single cell sequencing may be  
641 applied to map the neuronal diversity in different species (Bail et al., 2021; Bakken  
642 et al., 2020) and investigate how that relates with the emergence of consciousness.

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